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## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masaya OTOKAWA

Appln. No. not yet assigned

Attorney Docket Q68514

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: February 20, 2002

Examiner: Not yet assigned

For:

SERIAL COMMUNICATION DEVICE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of the listed document is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language document, Applicant submits the following explanations: the attached foreign language publication is cited and described within the specification on page 2.

## INFORMATION DISCLOSURE STATEMENT Attorney Docket Q68514

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Robert V. Sloan

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Date: February 20, 2002

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| Substitute for form 144           | 9 A & B/PTO |    |                      | Application Number     |                  |    |  |
| INFORMATION DISCLOSURE            |             |    |                      | Confirmation Number    | Not yet assigned | AG |  |
| STATEMENT BY APPLICANT            |             |    | Filing Date          | February 20, 2002      | .10              |    |  |
|                                   |             |    | First Named Inventor | Masaya OTOKAWA         | 7.0              |    |  |
| (use as many sheets as necessary) |             |    |                      | Art Unit               | Not yet assigned | 0/ |  |
|                                   |             |    |                      | Examiner Name          | Not yet assinged | 70 |  |
| Sheet                             | 1           | of | 1                    | Attorney Docket Number | Q68514           | Ü  |  |

| U.S. PATENT DOCUMENTS |                          |            |   |                                |   |  |
|-----------------------|--------------------------|------------|---|--------------------------------|---|--|
|                       |                          | Document N | umber                                   |                                |   |  |
|                       | Cite<br>No. <sup>1</sup> | Number     | Kind<br>Code <sup>2</sup><br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |  |
|                       |                          |            |   |                                |   |  |
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| FOREIGN PATENT DOCUMENTS        |                         |                              |                     |                                   |                     |                             |                          |
|---------------------------------|-------------------------|------------------------------|---------------------|-----------------------------------|---------------------|-----------------------------|--------------------------|
| Examiner Cite<br>Initials* No.1 | Foreign Patent Document |                              |                     | Publication Date                  | Name of Patentee or |                             |                          |
|                                 |                         | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          | Applicant of Cited Document | Translation <sup>6</sup> |
| _ <del></del>                   |                         |                              |                     |                                   |                     |                             |                          |
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|                       |              | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  |                          |
|-----------------------|--------------|--|--------------------------|
| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                       |              | "Fujitsu Semiconductor Device Data Sheet; DS03-82401-2", page 1607   | ļ <u></u>                |
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| Examiner Signature | <br>Date Considered |   |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.